SEP 0 3 2002

RECEIVED

SEP 0 5 2002

TECH CENTER 1600/2900

	PANCIARIA				IECH CENTEN	IOOOIFAAA
FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.: 14538A-005111US Application No.: 10/038,060 Parent USSN: 08/973,823				
		Applicant: Andrew Koff et al.				
		Filing Date: January 4, 2002		Group: 1632 Parent Group: 1632		
Reference Desi	gnation	1	U.S. PATENT DOCUMI	ENTS		Page 1 of 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
RPS AA.	5,302,706	04/12/94	Smith et al.			
AB.	5,340,740	08/23/94	Petitte et al.			
AC.	5,650,550	07/22/97	Korach et al.			
TOBAD.	5,958,769	09/28/99	Roberts et al.			
		FOF	REIGN PATENT DOCU	MENTS	I.	<u> </u>
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
TERSAE.	WO 94/26884	11/24/94	PCT			(163/110)
AF.	WO 95/18824	07/13/95	PCT			
AG.	WO 96/02140	02/01/96	PCT			
AH.	WO 97/26327	07/24/97	PCT			
PRS AI.	WO 97/38091	10/16/97	PCT			
						J
	OT	HER ART (Incl	uding Author, Title, Date	e, Pertinent Pages, I	Etc.)	
RRSAJ.	Capecchi, "High Efficiency Transformation by Direct Microinjection of DNA into Cultured Mammalian Cells," Cell 22:479-488 (November 1980).					
AK.	Thomas et al., "Site-Directed Mutagenesis by Gene Targeting in Mouse Embryo-Derived Stem Cells," Cell 51:503-512 (November 6, 1987).					
AL.	Doetschman et al., "Targetted Correction of a Mutant HPRT Gene in Mouse Embryonic Stem Cells," Nature 330:576-578 (December 10, 1987).					
AM.	Doetschman et al., "Establishment of Hamster Blastocyst-Derived Embryonic Stem (ES) Cells," <u>Devel. Biol.</u> 127:224-227 (May 1, 1988).					
AN.	Thomas et al., "Targeted Disruption of the Murine <i>int-1</i> Proto-Oncogene Resulting in Severe Abnormalities in Midbrain and Cerebellar Development," Nature 346:847-850 (August 30, 1990).					
AO.	Shulman et al., "Homologous Recombination in Hybridoma Cells: Dependence on Time and Fragment Length," Mol. Cell. Biol. 10:4466-4472 (September 1990).					
AP.	Rahemtulla et al., "Normal Development and Function of CD8 ⁺ Cells but Markedly Decreased Helper Cell Activity in Mice Lacking CD4," Nature 353:180-184 (September 12, 1991).					
AQ.	Hasty et al., "Target Frequency and Integration Pattern for Insertion and Replacement Vectors in Embryonic Stem Cells," Mol. Cell. Biol. 11:4509-4517 (September 1991).					
PRAR.			Fish," Experientia 47:89	1-897 (1991).		
M-AK.	Houdebine et al,		Fish," Experientia 47:89			

•• • •	SEP n 2 2002			
FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.: 14538A-005111US	Application No.: 10/038,060 Parent USSN: 08/973,823	
		Applicant: Andrew Koff et al.		
 -		Filing Date: January 4, 2002	Group: 1632 S Parent Group: 1632	
203 AS.	Tumours," Nature 356:215-221 (<u> </u>	
AT.	Sorrentino et al., "Selection of Drug-Resistant Bone Marrow Cells in Vivo After Retroviral Transfer Hum MDR1," Science 257:99-103 (July 3, 1992).			
AU.	Koff et al., "Formation and Activation of a Cyclin E-cdk2 Complex During the G ₁ Phase of the Humagell Cycle," Science 257:1689-1694 (September 18, 1992).			
AV.	Baker et al., "Osteoblast-Specific Expression of Growth Hormone Stimulates Bone Growth in Transgenic Mice," Mol. Cell. Biol. 12:5541-5547 (December 1992).			
AW.	Sukoyan et al., "Isolation and Cultivation of Blastocyst-Derived Stem Cell Lines from American Mink (Mustela Vison)," Mol. Rep. Dev. 33:418-431 (December 1992).			
AX.	Bradley et al., "Modifying the M	ouse: Design and Desire," Biotechnology 10:5	34-539 (1992).	
AY.	Kappel et al., "Regulating Gene Expression in Transgenic Animals," <u>Current Opinion in Biotechnology</u> 3:548-553 (1992).			
AZ.	Ohtsubo et al., "Cyclin-Dependent Regulation of G ₁ in Mammalian Fibroblasts," <u>Science</u> 259:1908-1912 (March 26, 1993).			
BA.	Koff et al., "Negative Regulation of G1 in Mammalian Cells: Inhibition of Cyclin E-Dependent Kinase by TGF-β," Science 260:536-539 (April 23, 1993).			
BB.	Schaffner et al., "Targeting of the rasT24 Oncogene to the Proximal Convoluted Tubules in Transgenic Mice Results in Hyperplasia and Polycystic Kidneys," American Journal of Pathology 142:1051-1060 (April 1993).			
BC.	Nakayama et al., "Disappearance of the Lymphoid System in Bcl-2 Homozygous Mutant Chimeric Mice," <u>Science</u> 261:1584-1588 (September 17, 1993).			
BD.	Ewen et al., "TGFβ Inhibition of Cdk4 Synthesis is Linked to Cell Cycle Arrest," Cell 74:1009-1020 (September 24, 1993).			
BE.	Huang et al., "Cellular Interactions Implicated in the Mechanism of Photoreceptor Degeneration in Transgenic Mice Expressing a Mutant Rhodopsin Gene," Proc. Nat. Acad. Sci. USA 90:8484-8488 (September 1993).			
BF.	Mullins et al., "Transgenesis in Nonmurine Species," <u>Hypertension</u> . 22:630-633 (October 1993).			
BG.	Serrano et al., "A New Regulatory Motif in Cell-Cycle Control Causing Specific Inhibition of Cyclin D/CDK4," Nature 366:704-707 (December 16, 1993).			
ВН.	Graves et al., "Derivation and Characterization of Putative Pluripotential Embryonic Stem Cells from Preimplantation Rabbit Embryos," Mol. Rep. Dev. 36:424-433 (1993).			
BI.	Polyak, "p27 ^{KIPI} , a Cyclin-Cdk Inhibitor, Links Transforming Growth Factor-β and Contact Inhibition to Cell Cycle Arrest," Genes & Development 8:9-22 (January 1994).			
BJ.	Iannaccone et al., "Pluripotent Embryonic Stem Cells from the Rat Are Capable of Producing Chimeras," <u>Devel.</u> <u>Biol.</u> 163:288-292 (May 1, 1994).			
BK.		Kaushansky et al., "Promotion of Megakaryocyte Progenitor Expansion and Differentiation by the c-Mpl Ligand Thrombopoietin," Nature 369:568-571 (June 16, 1994).		
BL.	Slingerland et al., "A Novel Inhibitor of Cyclin-Cdk Activity Detected in Transforming Growth Factor β-Arrested Epithelial Cells," Mol. Cell. Biol. 14:3683-3694 (June 1994).			
BM.	Polyak et al., "Cloning of p27 ^{KIP1} , a Cyclin-Dependent Kinase Inhibitor and a Potential Mediator of Extracellular Antimitogenic Signals," Cell 78:59-66 (July 15, 1994).			
BN.	Toyoshima et al., "p27, a Novel Inhibitor of G1 Cyclin-Cdk Protein Kinase Activity, Is Related to p21," Cell 78:67-74 (July 15, 1994).			
BO.	Firpo et al., "Inactivation of a Cdk2 Inhibitor During Interleukin 2-Induced Proliferation of Human T-Lymphocytes," Mol. Cell. Biol. 14:4889-4901 (July, 1994).			
Ph 3 BP.		ntiation in Human Promyelocytic HL-60 Leuke bsence of p53," <u>Oncogene</u> 9:3397-3406 (Nove		

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.: 14538A-005111US	Application No.: 10/032060 Parent USSN: 08/973 223	
		Applicant: Andrew Koff et al.	CENT	
		Filing Date: January 4, 2002	Group: 1632	
218BQ.	Steinman et al., "Induction of p21 (WAF-1/CIP1) During Differentiation," Oncogene 9:3389-3396 (November 1994).			
OT OR.	Zhuang et al., "The Helix-Loop-Helix Gene E2A Is Required for B Cell Formation," Cell 79:875-884 (December 2, 1994).			
SEP 8 3 2002	Nourse et al., "Interleukin-2-Mediated Elimination of the p27 ^{Kip1} Cyclin-Dependent Kinase Inhibitor Prevented by Rapamycin," Nature 372:570-573 (December 8, 1994).			
RADENA	Kato et al., "Cyclic AMP-Induced G1 Phase Arrest Mediated by an Inhibitor (p27Kip1) of Cyclin Dependent Kinase 4 Activation," Cell 79:587:487-496 (1994).			
BU.	Seamark, "Progress and Emerging Problems in Livestock Transgenesis: a Summary Perspective," <u>Reprod. Fertil.</u> <u>Dev.</u> 6:653-657 (1994).			
BV.	Wakamatsu et al., "Establishment of a Pluripotent Cell Line Derived from a Medaka (Oryzias Latipes) Blastula Embryo," Molecular Marine Biology and Biotechnology 3:185-191 (1994).			
BW.	Wheeler, "Development and Validation of Swine Embryonic Stem Cells: a Review," Reprod. Fertil. Dev. 6:563-568 (1994).			
BX.	Wigley et al., "Site-Specific Transgene Insertion: an Approach," Reprod. Fertil. Dev. 6:585-588 (1994).			
BY.	Raviprakash et al., "Inhibition of Dengue Virus by Novel, Modified Antisense Oligonucleotides," <u>J. Virol.</u> 69:69-74 (January 1995).			
BZ.	Peitenpol et al., "Assignment of the Human p27 ^{Kip1} Gene to 12p13 and Its Analysis in Leukemias," <u>Can. Res.</u> , 55:1206-1210 (March 15, 1995).			
CA.	Ravitz et al., "Transforming Growth Factor β-Induced Activation of Cyclin E-cdk2 Kinase and Down-Regulation of p27 ^{Kip1} in C3H 10T ¹ / ₂ Mouse Fibroblasts," <u>Can. Res.</u> 55:1413-1416 (April 1, 1995).			
CB.	Sherr et al., "Inhibitors of Mammalian G ₁ Cyclin-Dependent Kinases," Genes & Development 9:1149-1163 (May 15, 1995).			
CC.	Chan et al., "Identification of Human and Mouse p19, a Novel CDK4 and CDK6 Inhibitor with Homology to p16 ^{ink4} ," Mol. Cell. Biol., 15:2682-2688 (May 1995).			
CD.	Resnitzky et al., "Different Roles for Cyclins D1 and E in Regulation of the G ₁ -to-S Transition," Mol. Cell. Biol. 15:3463-3469 (July 1995).			
CE.	Reynisdottir et al., "Kip/Cip and Ink4 Cdk Inhibitors Cooperate to Induce Cell Cycle Arrest in Response to TGF-β," Genes & Development 9:1831-1845 (August 1, 1995).			
CF.	Pagano et al., "Role of Ubiquitin-Proteasome Pathway in Regulating Abundance of the Cyclin-Dependent Kinase Inhibitor p27," Science 269:682-685 (August 4, 1995).			
CG.	Thomson et al., "Isolation of a Primate Embryonic Stem Cell Line," <u>Proc. Nat. Acad. Sci. USA</u> 92:7844-7848 (August 15, 1995).			
СН.	Deng et al., "Mice Lacking p21 ^{CIPI/WAFI} Undergo Normal Development, but Are Defective in G1 Checkpoint Control," Cell 82:675-684 (August 25, 1995).			
CI.	Brugaroias et al., "Radiation-Induced Cell Cycle Arrest Compromised by p21 Deficiency," Nature 377:552-557 (October 12, 1995).			
CJ.	Crystal, "Transfer of Genes to Humans: Early Lessons and Obstacles to Success," Science 270:404-410 (October 20, 1995).			
CK.	Khare et al., "Spontaneous Inflammatory Arthritis in HLA-B27 Transgenic Mice Lacking β ₂ -Microglobulin: A Model of Human Spondyloarthropathies," J. Exp. Med., 182:1153-1158 (October 1995).			
CL.	Kranenburg et al., "Inhibition of Cyclin-Dependent Kinase Activity Triggers Neuronal Differentiation of Mouse Neuroblastoma Cells," J. Cell Biol. 131:227-234 (October 1995).			
RPSCM.	Koff et al., "p27 ^{KIPI} , an Inhibitor of Cyclin-Dependent Kinases," <u>Progress in Cell Cycle Research</u> 1:141-147 (1995).			
	R	Rs 10/28/03		

FORM PTO-14	·	Attorney Docket No.: 14538A-005111US	Application No.: 10/038,060 Parent USSN: 08/972323	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Andrew Koff et al.	C on	
	,	Filing Date: January 4, 2002	Group: 1632 Parent Group: 1632	
RNOCN.	Li et al., "mRNA Expression of Cataracts," Eye Science 11:113-	Vimentin Gene in Lens of Transgenic Mouse a 116 (1995).	und DNA Amplificatio	
01980	Limonta et al., "Production of Active Anti-CD6 Mouse/Human Chimeric Antibodies in the Milk of Tessgenic Mice," Immunotechnology 1:107-113 (1995).			
CP. 9	(1995).	e and Aptamer Acid Drugs: Progress and Prosp		
ZOOZ CO.	van Denderen et al., "Expression of Functional Decay-Accelerating Factor (CD55) in Transgenic Mice Protects Against Human Complement-Mediated Attack," <u>Transplantation</u> 61:582-588 (February 27, 1996).			
	Campbell et al., "Sheep Cloned by Nuclear Transfer from a Cultured Cell Line," Nature 380:64-66 (March 7, 1996).			
CS.	Mullins et al., "Perspectives Series: Molecular Medicine in Genetically Engineered Animals, Transgenesis in the Rat and Larger Mammals," J. Clin. Invest. 97:1557-1560 (April 1996).			
CT.	Coats et al., "Requirement of p27 ^{Kip1} for Restriction Point Control of the Fibroblast Cell Cycle," <u>Science</u> 272:877-880 (May 10, 1996).			
CU.	Fero et al., "A Syndrome of Multiorgan Hyperplasia with Features of Gigantism, Tumorigenesis, and Female Sterility in p27 ^{Kipl} -Deficient Mice," <u>Cell</u> 85:733-744 (May 31, 1996).			
CV.	Kiyokawa et al., "Enhanced Growth of Mice Lacking the Cyclin-Dependent Kinase Inhibitor Function of p27 ^{Kip1} , Cell 85:721-732 (May 31, 1996).			
cw.	Nakayama et al., "Mice Lacking p27 ^{Kip1} Display Increased Body Size, Multiple Organ Hyperplasia, Retinal Dysplasia, and Pituitary Tumors," <u>Cell</u> 85:707-720 (May 31, 1996).			
CX.	Tyers, "The Cyclin-Dependent Kinase Inhibitor p40 ^{S/C/} Imposes the Requirement for Cln G1 Cyclin Function at Start," Proc. Nat. Acad. Sci. USA 93:7772-7776 (July 1996).			
CY.	Rivard et al., "Abrogation of p27 ^{Kip1} by cDNA Antisense Suppresses Quiescence (G ₀ State) in Fibroblasts," <u>J. Biol. Chem.</u> 271:18337-18341 (August 2, 1996).			
CZ.	Ross et al., "Gene Therapy in the United States: A Five-Year Status Report," <u>Human Gene Therapy</u> 7:1781-1790 (September 10, 1996).			
DA.	Irwin et al., "Identification of Transgenic Mice by PCR Analysis of Saliva," Nature Biotechnology 14:1146-1148 (September 1996).			
DB.	Schoonjans et al., "Pluripotential Rabbit Embryonic Stem (ES) Cells Are Capable of Forming Overt Coat Color Chimeras Following Injection into Blastocysts," Mol. Rep. Dev. 45:439-443 (September 1996).			
DC.	Khare et al., "HLA-B27 Heavy Chains Contribute to Spontaneous Inflammatory Disease in B27/Human β ₂ -Microglobulin (β ₂ m) Double Transgenic Mice with Disrupted Mouse β ₂ m," J. Clin. Invest. 98:2746-2755 (December 1996).			
DD.	Charreau et al., "Transgenesis in Rats: Technical Aspects and Models," <u>Trans. Res.</u> 5:223-234 (1996).			
DE.	Liu et al., "Transcriptional Activation of the Cdk Inhibitor p21 by Vitamin D ₃ Leads to the Induced Differentiation of the Myelomonocytic Cell Line U937," Genes & Development 10:142-153 (1996).			
DF.	Mullins et al., "Perspectives Series: Molecular Medicine in Genetically Engineered Animals, Transgenesis in the Rat and Larger Mammals," J. Clin. Invest. 98:S37-S40 (1996).			
DG.	Rojanasakul, "Antisense oligonucleotide therapeutics: drug delivery and targeting," Y. Advanced Drug Delivery Reviews, 18:115-131 (1996).			
DH.	Campbell et al., "Totipotency or Multipotentiality of Cultured Cells: Applications and Progress," Theriogenology 47:63-72 (January 1, 1997).			
DI.	Cameron, "Recent Advances in Transgenic Technology," Molecular Biotechnology 7:253-265 (1997).			
DJ.	Gardner et al., "Reflections on the Biology of Embryonic Stem (ES) Cells," Int. J. Dev. Biol. 41: 235-243 (1997).			
DK.	···	Merriam Webster's Collegiate Dictionary, "Bull," 10th Ed., pg. 150. (1997).		
CIUS DL.		ictionary, "Cow," 10th Ed., pg. 268 (1997).		

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATION	Attorney Docket No.: 14538A-00511	1US Application No.: 10/038,060 Parent USSN: 08/973,823		
APPLICANT'S INFORMATION DISCLOSTATEMENT (Use several sheets if necess	SURE Applicant: Andrew Koff et al.	Applicant: Andrew Koff et al.		
	Filing Date: January 4, 2002	Group: 1632 Parent Group: 1632		
Moreadith et al., "Gene 7 75:208-216 (1997).	Moreadith et al., "Gene Targeting in Embryonic Stem Cells: the New Physiology and Metabolism," <u>J. Mol. Med.</u> 75:208-216 (1997).			
<u>USA</u> 95:3679-3684 (Mar	Hong et al., "Production of Medakafish Chimeras from a Stable Embryonic Stem Cell Line," <u>Proc. Nat. Acad. Sci. USA</u> 95:3679-3684 (March 31, 1998).			
Anderson, "Human Gene	Anderson, "Human Gene Therapy," Nature 392 (supp.):25-30 (April 30, 1998).			
₩ U 3 2002 ♣ /1000\	Deonarain, "Ligand-Targeted Receptor-Mediated Vectors for Gene Delivery," Exp. Opin. Ther. Patents 8:53-69 (1998).			
	Khare et al., "Unraveling the Mystery of HLA-B27 Association with Human Spondyloarthropathies Using Transgenic and Knock Out Mice," Immunology 10:15-23 (1998).			
DR. Mountain, "Gene Therap	Mountain, "Gene Therapy: the First Decade," <u>Tibtech</u> 18:119-128 (March 2000).			
	Sigmund, "Viewpoint: Are Studies in Genetically Altered Mice Out of Control?," <u>Arterioscler Thromb. Vasc.</u> <u>Biol.</u> , 20:1425-1429 (June 2000).			
	Denning et al., "Deletion of the α (1,3) Galactosyl Transferase (GGTA1) Gene and the Prion Protein (PrP) Gene in Sheep," Nature Biotechnology 19:559-562 (June 2001).			
EXAMINER RRS	DATE CONSIDERED (0(28	103		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SE 5011552 v1

RECEIVED SEP 0 5 2002 TECH CENTER 1600/2900